



DEPARTMENT OF THE NAVY  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS  
2000 NAVY PENTAGON  
WASHINGTON, D.C. 20350-2000

IN REPLY REFER TO

OPNAVINST 5740.13B  
N885F  
05 April 1999

OPNAV INSTRUCTION 5740.13B

From: Chief of Naval Operations

Subj: DOD RESPONSIBILITIES ON FEDERAL AVIATION AND NATIONAL  
AIRSPACE SYSTEM MATTERS

Encl: (1) DoD Directive 5030.19 of 15 Jun 97,

1. Purpose. To implement enclosure (1).
2. Cancellation. OPNAVINST 5740.13A.
3. Background

a. The Federal Aviation Administration (FAA) establishes and regulates Federal airways, prescribes air traffic rules for civil and military aircraft, conducts research and development for air navigation facilities, and provides and operates equipment for a common system of air traffic control and navigation for both civil and military aircraft. (R)

b. Under a Presidential Memorandum of 11 August 1960, the Interagency Group on International Aviation (IGIA) was established on 9 December 1960 for coordination of international aviation matters. (R)

c. Department of Defense (DoD) functions related to the foregoing are a responsibility of the DoD representative to the FAA for Federal aviation matters and the DoD member of IGIA for international aviation matters. Enclosure (1) continues this arrangement, designates DoD representatives to the FAA and IGIA, and outlines their responsibilities.

4. Designation

a. Director, Air Warfare Division (N88) is designated the Navy member of the DoD Policy Board on Federal Aviation (PBFA) and shall be responsible for coordination of board matters for the Navy. He shall appoint alternate members to the board as (R)

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appropriate and designate Navy members and alternates to the PBFA subgroups as appropriate.

b. The Deputy Chief of Staff for Aviation, Headquarters, U.S. Marine Corps is designated the Marine Corps member of the PBFA and shall be responsible for coordination of board matters for the Marine Corps. He shall appoint alternate members to the board as appropriate and designate Marine Corps members and alternates to the PBFA subgroups as appropriate.

R) 5. Action. N88 shall:

a. Coordinate development of the Department of the Navy Airspace Master Plan to define, validate, and support future airspace requirements. This plan shall support the development of the DoD airspace plan.

b. Support implementation of the Military Airspace Management System (MAMS) within DoD to ensure efficient utilization of airspace and provide near real-time usage data.

R) 6. Responsibility for Support. Consistent with their assigned missions and tasks, each Deputy Chief of Naval Operations in the Office of the Chief of Naval Operations shall provide support and assistance to N88 in this assignment. When assistance of a Navy Department command or office is required, the Air Warfare Division shall request and coordinate such assistance on behalf of the Chief of Naval Operations.

  
J. B. NATHMAN  
Director, Air Warfare Division

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# Department of Defense DIRECTIVE

OPNAVINST 5740.13B  
05 APR 1999

June 15, 1997  
NUMBER 5030.19

ASD(C3I)

**SUBJECT:** DoD Responsibilities on Federal Aviation and National Airspace System Matters

- References:**
- (a) DoD Directive 5030.19, "DoD Responsibilities on Federal Aviation and National Airspace System Matters," June 22, 1989 (hereby canceled)
  - (b) Title 10, United States Code
  - (c) DoD Instruction 5030.36, "Plan for the Security Control of Air Traffic and Air Navigation Aids," (Short Title: SCATANA), April 24, 1980
  - (d) Executive Order 11161, "Relating to Certain Relationships Between the Department of Defense and the Federal Aviation Agency," July 8, 1964

## 1. REISSUANCE AND PURPOSE

This Directive:

1.1. Reissues reference (a) to update policy and responsibilities for peacetime and wartime relationships between the Department of Defense, the Department of Transportation (DoT), the Federal Aviation Administration (FAA), and other Government Agencies (including the Department of State for Interagency Group on International Aviation (IGIA) matters) for the areas specified in subsection 1.2., below.

1.2. Outlines the DoD organizational structure for interface with the DoT, FAA, and other agencies on air traffic control and airspace management, National Airspace System (NAS) matters, and joint system acquisitions.

1.3. Provides DoD policy and planning guidance for comprehensive airspace planning between the Department of Defense, the DoT, the FAA, other Government Agencies, State governments, and civil communities.

1.4. Designates the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD(C3I)), acting for the Secretary of Defense, to provide policy and oversight of DoD interface with the FAA on all NAS matters.

1.5. Establishes the DoD Policy Board on Federal Aviation (PBFA) and designates ASD(C3I) as the Chair.

1.6. Establishes and assigns responsibilities for a DoD NAS Requirements Office (NASRO).

Enclosure (1)

1.7. Assigns responsibility to the ASD(C3I) as the Chair of the Overarching Integrated Process Team (IPT) under the Defense Acquisition Board (DAB) for providing acquisition guidance related to all NAS acquisition activities.

1.8. Provides policy and guidelines for the use of military facilities affecting the use of airspace.

1.9. Is not intended to restrict the Military Departments' authority or responsibilities under 10 U.S.C. (reference (b)). Policies, procedures, instructions and responsibilities for the secure control of civil and military navigational aids, under emergency conditions, are contained in DoD Instruction 5030.56 (reference (c)) which implements E.O. 11161, (reference (d)).

## 2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, (including the Coast Guard when it is operating as a Military Service in the Navy), the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components"). The term "Military Services," as used herein, refers to the Army, the Navy, the Air Force, and the Marine Corps.

## 3. POLICY

It is DoD policy to:

3.1. Ensure that the Military Departments have sufficient airspace to fulfill military, training, and test and evaluation requirements for peacetime, contingency, and wartime operations. Airspace designated for military purposes, when not required by the Department of Defense, shall be made available to the FAA for civil use.

3.2. Cooperate with the FAA for the effective and efficient management of the NAS.

3.3. Ensure operational interoperability between the Department of Defense and FAA and ensure equipment interoperability between the Department of Defense and FAA, except where security, military, or need-to-know issues result in a conscious design decision to isolate specific equipment.

3.4. Actively participate in international aviation, air traffic control, and airspace management fora to ensure DoD requirements and needs are addressed.

3.5. Ensure policies and procedures are in place in anticipation of the transfer of FAA responsibilities to the Department of Defense in case of war, in compliance with reference (d).

3.6. Have established a PBFA, as described in enclosure 1.

#### 4. RESPONSIBILITIES

4.1. The Assistant Secretary of Defense for Command, Control, Communications, and Intelligence shall:

4.1.1. Provide policy and oversight for NAS matters, air traffic control, airspace management, military airports, and related development and acquisition activities for DoD aviation.

4.1.2. Issue policies, guidance, and procedures necessary to implement this Directive.

4.1.3. Chair the Overarching IPT under the DAB for providing acquisition guidance related to all NAS acquisition activities.

4.1.4. Chair the DoD PBFA.

4.1.5. Appoint a representative to the PBFA Working Group and designate representatives to subgroups, when requested by the PBFA Chair or Executive Director.

4.2. The Under Secretary of Defense for Policy shall provide oversight and guidance on international matters as they pertain to this Directive.

4.3. The Under Secretary of Defense for Acquisition and Technology shall appoint a member to the PBFA, representatives to the PBFA Working Group as called for in this Directive, and designate representatives to subgroups, when requested by the PBFA Chair or Executive Director.

4.4. The Under Secretary of Defense (Comptroller) shall appoint a member to the PBFA, representatives to the PBFA Working Group as called for in this Directive, and designate representatives to subgroups, when requested by the PBFA Chair or Executive Director.

4.5. The General Counsel of the Department of Defense shall designate legal advisors to provide the staff support for the PBFA and its Executive Director on issues requiring the review by the Secretary of Defense, Deputy Secretary of Defense, or ASD(C3I); appoint a member to the PBFA and the PBFA Working Group; and designate representatives to subgroups, when requested by the PBFA Executive Director.

4.6. The Chairman of the Joint Chiefs of Staff shall appoint a member to the PBFA, and the PBFA Working Group, and designate representatives to subgroups, when requested by the PBFA Executive Director.

4.7. The Director, Operational Test and Evaluation, shall appoint a member to the PBFA and a representative to the PBFA Working Group, and designate representatives to subgroups, when requested by the PBFA Chair or Executive Director.

4.8. The Secretaries of the Military Departments shall:

4.8.1. Appoint a member to the PBFA, and the PBFA Working Group, and designate representatives to PBFA subgroups when requested by the PBFA Chair or Executive Director.

4.8.2. Coordinate Service-unique air traffic control and/or airspace management issues directly with the FAA offices of primary concern and advise the PBFA Chair and Executive Director.

4.8.3. Keep the FAA informed about the nature and progress of facility projects that are planned, programmed, or budgeted. The information shall be provided in the planning stage to the FAA headquarters, and shall be in sufficient detail to indicate the possible impact of each project on the use of airspace.

4.8.4. Consult with appropriate offices of the FAA during the development of projects falling within the scope of paragraph 4.8.3.

4.8.5. Provide the FAA with pertinent information whenever substantial changes are planned in the use of military airports, landing areas, missile or rocket sites, or other facilities that may affect airspace controlled, or regulated, by the FAA.

4.8.6. Ensure that all common DoD NAS-and FAA- related acquisitions are coordinated with the NASRO and utilize the NASRO for DoD NAS-related systems that are common to multiple Military Departments.

4.9. The Secretary of the Air Force shall:

4.9.1. Designate the PBFA Executive Director.

4.9.2. Establish and provide support for a PBFA Secretariat.

4.9.3. Ensure that the PBFA Executive Director does the following:

4.9.3.1. Manages the PBFA Secretariat.

4.9.3.2. Establishes the PBFA Working Group to support the PBFA Chair and Executive Director in carrying out their responsibilities prescribed by this Directive and E.O. 11161 (reference (d)). The PBFA Working Group shall include a representative from the Office of the ASD(C3I); the Under Secretary of Defense (Acquisition and Technology) (USD(A&T)) Deputy Under Secretary of Defense (Space) and the Director Test, Systems Engineering and Evaluation; the Under Secretary of Defense (Comptroller) (USD(C)) Deputy Under Secretary of Defense (Transportation Policy) and the Director, Program Analysis and Evaluation (OD(PA&E)); General Counsel of the Department of Defense (GC, DoD); the Director, Operational Test and Evaluation (DOT&E); the Chairman of the Joint Chiefs of Staff; the Military Services; and NASRO.

4.9.3.3. Establishes the DoD NASRO, chartered to coordinate joint DoD and FAA common requirements. The NASRO shall provide day-to-day interface and coordination with FAA on NAS matters involving more than one Service, including consolidation of Service requirements, and shall function as the focal point for systems interoperability requirements and modernization in concert with the FAA.

4.9.3.4. Produces and maintains a consolidated and coordinated DoD airspace plan that defines, validates, and supports current and future airspace requirements. This plan shall be provided to the DoT, FAA, affected States, and any concerned government, to the maximum extent possible, consistent with national defense security requirements.

4.9.3.5. Represents the Department of Defense in fora dealing with international aviation.

4.9.3.6. Serves as the DoD liaison with the DoT and FAA on Federal aviation and NAS matters.

4.9.3.7. Serves as the DoD member to the Interagency Group on International Aviation to ensure consistent and logical representation of DoD international aviation needs.

## 5. EFFECTIVE DATE

This Directive is effective immediately.



John P. White  
Deputy Secretary of Defense

Enclosure  
DoD PBFA

E1. ENCLOSURE 1

DoD POLICY BOARD ON FEDERAL AVIATION

E1.1. DOD PBFA

E1.1.1. Organization and Management

E1.1.1.1. The PBFA shall be chaired by the ASD (C3I).

E1.1.1.2. Membership of the PBFA shall include representatives at the three-star level.

E1.1.1.2.1 Membership: USD(A&T); Under Secretary of Defense for Policy; USD(C); GC, DoD; DOT&E; one representative from the Office of the Chairman of the Joint Chiefs of Staff; and one representative from each of the Military Departments.

E1.1.1.3. The PBFA Chair or Executive Director may convene subcommittees, subgroups or panels to support the PBFA with representatives from the organizations comprising the PBFA. The Executive Director, in consultation with the PBFA Chair and representatives from the organizations comprising the PBFA, may establish working groups and advisory bodies, as necessary, to support activities of the PBFA.

E1.1.1.4. The PBFA Chair or Executive Director may invite other organizations having an interest in Federal aviation and NAS matters to be represented at the PBFA or any of its subgroups, on an ex-officio basis, or to participate in the meetings when matters germane to their interests are addressed.

E1.1.2. Functions

E1.1.2.1. The PBFA shall advise and assist the ASD(C3I) on air traffic control, airspace management, NAS matters, joint systems acquisition, and aviation-related international affairs.

E1.1.2.2. The PBFA Working Group shall provide the mechanism for addressing medium to long-term user and interoperability requirements planning for the NAS.