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APPENDIX D

FLAG OFFICER POSITION CRITERIA FORMAT

See explanation sheet that follows for interpretation of data fields. Indicate at each statement the priority in which selected criteria apply to this position and show recapitulation on sheet provided.

ACTIVITY: _____

BILLET TITLE: _____

BIN #: _____ BSC: _____ UIC: _____

A. NATURE OF THE POSITION

1. (____) Characteristics of function.

Type:

Scope:

Level:

2. (____) Grade and position of:

Superiors:

Principal subordinates:

Lateral points of coordination:

Military or governmental structure:

Level:

3. (____) Supervision over the position.

Proximity:

Degree:

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4. (____) Official relations with U.S. and foreign governmental officials and with the public.

Nature:

Extent:

Level:

5. (____) Reflection of national emphasis and determination.

6. (____) Special qualifications required by the position.

B. MAGNITUDE OF RESPONSIBILITIES

1. (____) Missions of the organization and special requirements of the position.

2. (____) Number, type, and value of resources managed and employed.

Military forces:

Personnel:

Value of equipment and properties:

Total obligational authority:

Foreign resources:

Other:

3. (____) Geographical area of responsibility.

4. (____) Authority to make decisions and commit resources.

5. (____) Auxiliary authorities and responsibilities inherent to the position.

6. (____) Development of policy.

7. (____) National commitment to international agreements.

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C. SIGNIFICANCE OF ACTIONS AND DECISIONS

1. () Impact on national security or other national interests.

2. () Importance to present and future effectiveness and efficiency of the national defense establishment.

3. () Effect on the prestige of the nation or the armed forces.

RECAPITULATION OF PRIORITIES ASSIGNED

A. NATURE

1. ()
2. ()
3. ()
4. ()
5. ()
6. ()

B. RESPONSIBILITIES

1. ()
2. ()
3. ()
4. ()
5. ()
6. ()
7. ()

C. SIGNIFICANCE

1. ()
2. ()
3. ()

NOTE: A priority number can be used only once for the entire recapitulation. For example, if priority 1 is assigned to category A3, it cannot be assigned to category B5.

Date Prepared: _____

Approved by: _____

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FLAG OFFICER POSITION CRITERIA
(EXPLANATION SHEET)

Below is a brief explanation of the criteria used by DOD to validate flag officer positions. These criteria are grouped into three main categories - Nature of the position, Magnitude of Responsibilities, and Significance of Actions and Decisions. These categories are broad in nature to provide latitude in justifying the manpower requirement. Some manpower requirements may involve all these criteria to a certain extent, but most manpower requirements will probably be justified in terms of only the most significant duties.

A. NATURE OF THE POSITION

1. Characteristics of Function: Type (e.g., command, general or coordinating staff, special staff, manager, deputy, specialist, etc.); Scope (e.g., operational command, training command, installation command, personnel management, officer personnel management, legal affairs, information, etc.); and Level of Function (e.g., national, secretarial, service, theater, field command, etc.).

2. Grade and Position of: superior, principal subordinates, and lateral points of coordination; a consideration of the military or governmental structure within which the manpower requirement function is performed; and a statement of the level at which the function is performed.

3. Supervision over Position: the proximity (remoteness or closeness) of supervision and the degree of independence of operation.

4. Official Relations with U.S. and Foreign Governmental Officials and with the Public: Nature (e.g., reports to, works for, keeps informed, provides liaison, etc.); extent (e.g., primary function, frequent requirement, continuous additional duty, occasional requirement, etc.); and level of official relations with U.S. and foreign governmental officials and with the public (e.g., governmental department or agency, national or local government, civil organizations, industry, press, etc.).

5. Reflection of National Emphasis and Determination: relation of position to national objectives and programs, special conditions under which the position was first established or other reasons why the position reflects national will.

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6. Special Qualifications Required by the Position: any special qualifications such as advanced education, or particular training or experience, which are essential to the proper execution of positional responsibilities.

B. MAGNITUDE OF RESPONSIBILITIES

1. Missions of Organization and Special Requirements of the Position: the nature of the responsibilities that are associated with the position.

2. Number, Type, and Value of Resources Managed and Employed. Data should be displayed within three categories: operational control, administrative control, and immediate staff within each subsection.

a. Military Forces: number and type of forces normally assigned or programmed for planned or special operations.

b. Personnel: number of personnel (by officer and CWO, enlisted, and civilian).

c. Value of Equipment and Properties (display in millions): total value of equipment, supplies and real property.

d. Total Obligational Authority & Foreign Resources: scope and type of foreign resources involved, if any, or other important resources.

3. Geographical Area of Responsibilities: a consideration of the size, location and, if appropriate, the criticality of the land, sea, or air spaces involved.

4. Authority to make Decisions and Commit Resources. An identification of the scope of the position with respect to specific authority delegated to or withheld from the position in either routine or emergency situations.

5. Auxiliary Authorities and Responsibilities Inherent in the Position: inherent requirements charged to the position by virtue of situation, location, proximity, tradition, etc.

6. Development of Policy: involvement in the development of Navy policy within the specific functional areas associated with the position, e.g., budget, program, communications, or manpower.

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7. National commitment to international agreements: authority to make commitments to foreign nations or involvement in negotiating such commitments for the U.S.

C. SIGNIFICANCE OF ACTIONS AND DECISIONS:

1. Impact on National Security or Other National Interests: effect of mission accomplishment or position performance on the protection of national interests or the advancement of national programs.

2. Importance to Present and Future Effectiveness and Efficiency of the National Defense Establishment: effect on the force structure, operational capabilities, status of combat readiness, quality of personnel and equipment, cost-effectiveness, command and control means, management procedures and techniques, responsiveness to national needs, or other factors.

3. Effect on the Prestige of the Nation or the Armed Forces: how effectiveness or accomplishment reflects on the stature of the nation and its armed forces, and influences the credibility of national aims and capabilities.

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FLAG OFFICER POSITION PROFILE

1. Organization: _____
2. Rotational _____ Service Dedicated _____ Nominative _____
3. Activity: _____
4. Billet Title: _____
5. Grade Requirement: _____
6. Is this position required by statute, Presidential Executive Order, or international agreement?
- Yes _____ No _____
7. Grade of incumbent as of 30 September. If vacant, so state and indicate reason.
- Grade _____ Vacant: Yes _____ No _____
- If yes, reason: _____
-
8. To which Defense Planning and Programming Category is this position assigned?
- Defense Planning/Programming Category: _____
9. To which FYDP Program Element is this position assigned?
- FYDP PE: _____
10. Is this an "Assistance to" position?
- Yes _____ No _____
11. Is this a one-on-one deputy position?
- Yes _____ No _____
12. When was general/flag officer grade first assigned to this position?
- Date assigned: _____

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13. Was a different grade authorized for this position at any time?

Yes _____ No _____

If yes, indicate grade and reason:

14. Has there been any period when this position was not filled by a general/flag officer due to other than a rotation gap?

Yes _____ No _____

If yes, specify: _____

15. Could a civilian fill this position?

Yes _____ No _____

If no, indicate why?

16. If this position could not be filled by a general/flag officer, how would the work and responsibility be reassigned?

17. What would the effect of the change described in #16 be on the accomplishment of national security missions?

18. Date prepared: _____

19. Approved by: _____